

02/18/98



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203/792-01

DOCKET NO.: 19603,1552 (CRF D-2052B)

EXPRESS MAIL NO: EM429841440US

A

UTILITY PATENT APPLICATION TRANSMITTAL FORM
(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application
(including Specification, Claims, Sequence Listing (if
applicable) and Abstract, 70 pages) of:

Inventors: Sheng-Zhi Pang, Dennis Gonsalves, and Fuh-Jyh Jan

For: DNA CONSTRUCT TO CONFER MULTIPLE TRAITS ON PLANTS

****If a CONTINUING APPLICATION, please mark where appropriate:**

☐ continuation ☐ divisional ☐ Continuation-In-Part (CIP)
of prior application Serial No. _____.

Enclosed are:

- ☒ 7 sheets of informal drawings.
- ☐ **Signed** Combined Declaration and Power of Attorney (____ pages)
- ☐ **Copy** of **signed** Combined Declaration and Power of Attorney
(____ pages) from a prior application (1.63(d)) (for continuation/divisional)
- ☐ **Signed** statement deleting inventor(s) named in prior application (____ pages) (1.63(d)(2) and 1.33(b))
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (____ pages) of the invention to _____.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☐ Verified statement to establish small entity status (____ pages) (newly signed or copy filed in prior application)
- ☐ Preliminary Amendment (____ pages).

- [X] Information Disclosure Statement, form PTO-1449 (1 page) and 15 references.
- [X] UNSIGNED Combined Declaration and Power of Attorney (2 pages).
- [X] Statement In Accordance With 37 C.F.R. § 1.821(f) and 3.5" Diskette
- [X] Return postcard

The Filing fee has been calculated as shown below:

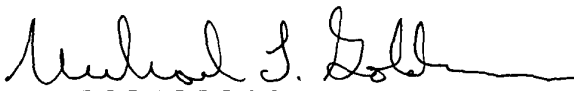
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FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	XXXXXXXXXXXXX	XXXXXXXXXXXXX	XXXXXX	\$ 395	OR	XXXXXX	\$790
TOTAL CLAIMS	92 - 20 =	72	x 11 =	\$ 792	OR	x 22 =	\$
INDEP CLAIMS	2 - 3 =	0	x 41 =	\$ 0	OR	x 82 =	\$
[] MULTIPLE DEPENDENT CLAIM PRESENTED			x135 =	\$	OR	x270 =	\$
			TOTAL	\$1187	OR	TOTAL	\$

*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

- [X] A check in the amount of \$1,187.00 to cover the filing fee is enclosed.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.
- [X] Address all future communications to:

Michael L. Goldman
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Clinton Square, P. O. Box 1051
Rochester, New York 14603

Date: February 18, 1998


Michael L. Goldman
Registration No. 30,727

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Clinton Square, P.O. Box 1051
Rochester, New York 14603
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Facsimile: (716) 263-1600

EXPRESS MAIL CERTIFICATE

DOCKET NO.: **19603/1552 (CRF D-2052B)**

APPLICANTS: **Sheng-Zhi Pang, Dennis Gonsalves, and Fuh-Jyh Jan**

TITLE: **DNA CONSTRUCT TO CONFER MULTIPLE TRAITS ON PLANTS**

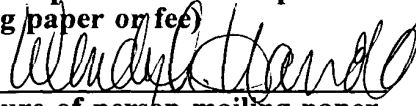
Certificate is attached to the **Utility Patent Application Transmittal Letter (2 pages)** of the above-named application.

EXPRESS MAIL NUMBER: **EM429841440US**

DATE OF DEPOSIT: **February 18, 1998**

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Wendy L. Harrold
(Typed or printed name of person
mailing paper or fee)


(Signature of person mailing paper
or fee)

EXPRESS MAIL CERTIFICATE

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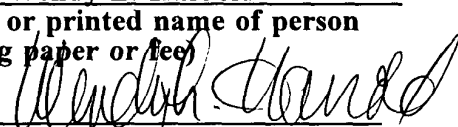
Certificate is attached to the **Patent Application including specification, claims and abstract (70 pages) and UNSIGNED Combined Declaration and Power of Attorney Form (2 pages)** of the above-named application.

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Wendy L. Harrold
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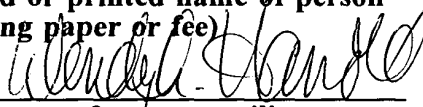
TITLE: **DNA CONSTRUCT TO CONFER MULTIPLE TRAITS ON PLANTS**

Certificate is attached to the **Informal Drawings (7 sheets)** of the above-named application.

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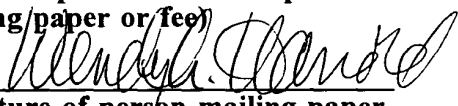
Certificate is attached to the **Information Disclosure Statement (1 page) and PTO-1449 Form (1 page) (in duplicate) and 15 references** of the above-named application.

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Wendy L. Harrold
(Typed or printed name of person
mailing/paper or fee)


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or fee)

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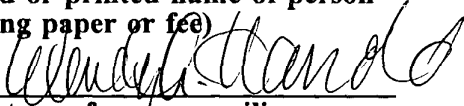
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TITLE: **DNA CONSTRUCT TO CONFER MULTIPLE TRAITS ON PLANTS.**

Certificate is attached to the **Statement in Accordance With 37 CFR § 1.821(f) (1 page) and Computer Readable 3.5' Diskette** of the above-named application.

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